

FORM PTO-1390 (Modified)  
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

8287.007

DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

CONCERNING A FILING UNDER 35 U.S.C. 371

09/700791

INTERNATIONAL APPLICATION NO.  
PCT/EP00/02704INTERNATIONAL FILING DATE  
28 March 2000PRIORITY DATE CLAIMED  
8 April 1999

TITLE OF INVENTION

WHEEL HUB FOR BICYCLES

APPLICANT(S) FOR DO/EO/US

SRAM DEUTSCHLAND GMBH

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

EP/EPO/OEB Form 1031.1

U.S. APPLICATION NO. (IF KNOWN) SEE 37 CFR

09/700791

INTERNATIONAL APPLICATION NO.

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21. The following fees are submitted..

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	9 - 20 =	0	x \$18.00
Independent claims	1 - 3 =	0	x \$78.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>
<b>TOTAL OF ABOVE CALCULATIONS</b>			<b>=</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).			<input type="checkbox"/>
<b>SUBTOTAL</b>			<b>=</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			<input type="checkbox"/>
<b>TOTAL NATIONAL FEE</b>			<b>=</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input checked="" type="checkbox"/>
<b>TOTAL FEES ENCLOSED</b>			<b>=</b>
			Amount to be: refunded \$
			charged \$

☒ A check in the amount of \$1,010.00 to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **50-0548** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Matthew F. Johnston

NAME

41,096

REGISTRATION NUMBER

November 20, 2000

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SRAM DEUTSCHLAND GMBH

Appl. No. unknown

Group Art Unit: unknown

Filed: November 20, 2000

Examiner: unknown

Title: WHEEL HUB FOR BICYCLES

**PRELIMINARY AMENDMENT**

Hon. Commissioner of Patents  
and Trademark  
Washington, DC 20231

November 20, 2000

Sir:

Please amend the above referenced patent application by making the following changes to the claims before the serial number is established as set forth below:

IN THE CLAIMS:

Claim 3, line 1, delete "1 or 2" and insert -- Claim 2 --.

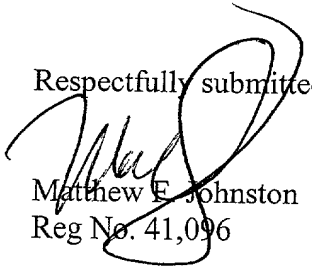
Claim 4, line 1, delete "one of claims 1 to 3" and insert -- Claim 1 --.

Claim 5, line 1, delete "1 or 4" and insert -- Claim 4 --.

Claim 8, line 1" delete "one of claims 1 to 7" and insert -- Claim 1 --.

Claim 9, line 1, delete "claims 1 to 8" and insert -- Claim 6 --.

Respectfully submitted,

  
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Reg No. 41,096

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## Hub for Bicycles

Description

5           The invention is concerned with a hub for a wheel of a bicycle for fixing a brake disk, in accordance with the preamble of claim 1.

DE 195 32 057 A1 has disclosed a knock-out spindle mount for bicycles having, in order to hold a  
10 knock-out spindle supporting a wheel, two bearing shells between which the knock-out spindle can be clamped. The hub has a hub sleeve having bearings on said knock-out spindle and also an adapter ring which can be connected to said hub sleeve and to which,  
15 according to Fig. 1, a brake disk can be connected by means of screws. The adapter ring has profiles which can be connected to profiles in the hub sleeve by plugging together, the hole of the ring keeping it centered on the knock-out spindle. Provided that the  
20 profiles also have a rubber layer, the brake disk is uncoupled during cycling from the hub sleeve in terms of vibration, thereby giving rise to advantages as regards noise production. However, play in the circumferential direction cannot be avoided and this  
25 may have a negative effect for the bicycle, since, particularly when cycling slowly, the feedback to the cyclist in terms of sensation suggests a disproportionately gentle initial deceleration for actuating the brake.

30           In contrast, the proposal according to the invention envisages connecting the brake disk to the hub in the circumferential direction without any play, it primarily being concerned with providing a universal hub sleeve which is fitted either with or without a  
35 brake disk and on the other hand may also be provided with different types of brake disks or brake drums.

          The object of the invention is therefore to provide a hub sleeve for a hub for a bicycle, which hub

sleeve can be fitted with a brake disk, it being possible for the brake disk to be mounted in the circumferential direction without any play. Furthermore, the hub sleeve is intended also to be  
5 fitted without a brake disk or else with other types of brakes.

The object is achieved in the form of an adapter which has an internal profile and can be plugged onto the hub sleeve which, for its part, has a  
10 profile fitting the internal profile. The adapter is fixed in one braking direction of rotation by means of at least one screw, with the result that, in the event of braking, the hub sleeve is always carried along via the adapter without any play. The brake disk can be  
15 connected via fixing holes to the adapter, said adapter being fixed axially by a fixing part. The fixing part has a collar which points radially inward and covers a bearing of the hub and therefore protects it from the ingress of dirt.

20 If a disk brake is to be omitted, the hub sleeve can be used in unchanged form, it being possible for a simple covering to be used in place of the adapter and the fixing part, said covering satisfying the requirements for sealing the bearing.

25 An exemplary embodiment of a hub with the possibility of attaching a brake disk is explained with reference to a number of drawings, in which:

30 Fig. 1 shows a hub with an adapter for fixing a brake disk;

Fig. 2 shows, in partial section, the connection of the adapter to a hub sleeve of the hub by means of a fixing part;

35 Fig. 3 shows the hub sleeve with a profile for fixing the adapter;

Fig. 4 shows the hub sleeve with the profile and with a fixing thread for the fixing part;

Fig. 5 shows a partial view of the hub sleeve with the profile;

Fig. 6 shows a perspective illustration of the adapter with an internal profile consisting of two flanks;

Fig. 7 shows the adapter with a screw hole for producing tangential freedom of play with respect to the hub sleeve.

10 If 1 denotes a hub for a bicycle for fixing a brake disk, said hub has a hub sleeve 2 which is connected via bearings 5 to a fixed hub axle 4. The hub sleeve has spoke flanges 3 which are usually connected to a rim via spokes and form a wheel for the bicycle.

15 According to Figs 1, 2, 3 and 4, the hub sleeve 2 has a profile 7 which has a first flank 9 and a second flank 10. The profile is arranged in toothed form on the periphery and can be produced without cutting, in which case, if an injection-molding process is used, one mold half has to be drawn in the axial direction. An adapter 6 can be connected to said profile 7, said adapter 6 having an internal profile 8 and, like the profile 7, having a first flank 9 and a second flank 10. Since the profile 7 is joined to the internal profile 8 of the adapter 6, the adapter 6 can be fitted in a more fixed manner in both directions of rotation by the hub sleeve 2 by being pushed on, in which case a small tangential play may arise which may allow unpleasant shocks to occur during cycling when torque is introduced via the adapter 6 to the hub sleeve 2. For this reason, there is arranged in Figs 6 and 7 at least one screw hole 13 which runs radially through the adapter and is directed against the second flank 10. As emerges from Fig. 7, the first flank 9 is arranged in one braking direction of rotation B and is steeper than the second flank 10, which does not have to transmit any forces in the braking direction of rotation B. When a screw is screwed into the screw hole

13, pressure is exerted by the adapter 6 on the second flank 10, as a result of which the adapter 6 is rotated in the braking direction of rotation B until the play is used up, and the first flank 9 of the internal profile 8 is pressed against the first flank 9 of the profile 7 on the hub sleeve 2. In this case, a brake disk fixed via fixing holes 12 to the adapter 6 can transmit the braking torque directly to the hub sleeve 2 without having to pass through any damaging play.

10 A fixing part 14 is screwed onto a fixing thread 16 and against the adapter 6, as a result of which the latter is secured axially. Arranged at the location of contact between the fixing part 14 and the adapter 6 is a dish-like contact surface having an angle 17, as a result of which the adapter 6 is additionally centered on the hub sleeve 2 when the fixing part 14 is being screwed on. The fixing part 14 forms, together with the adapter 6, a right angle which enables the brake disk to be centered when being fixed on the adapter 6. The fixing part 14 has a collar 15 which points radially inward and extends around the hub sleeve 2 and over the bearing 5, thereby forming an additional sealing location against the ingress of dirt.

Patent claims

1. A hub (1) for a wheel of a bicycle, comprising a hub axle (4), a hub sleeve (2) having spoke flanges (3), and at least one bearing (5) between the hub axle (4) and the hub sleeve (2), arrangements being made to fix the rotating part of a brake system, in particular of a brake disk, characterized in that
- 10 the arrangements comprise an adapter (6) having fixing holes (12) for fixing the brake disk, and having an internal profile (8) which can be connected in a rotationally fixed manner to the hub sleeve (2) on a profile (7) which is arranged around its periphery and
- 15 is matched to the internal profile (8).
2. The hub as claimed in claim 1, characterized in that the profile (7) and the internal profile (8) have a toothed form with a first flank (9) and with a second flank (10).
- 20 3. The hub as claimed in claim 1 or 2, characterized in that in a braking direction of rotation (B) the first flank (9) can transmit a braking torque from the brake disk to the hub sleeve (2).
- 25 4. The hub as claimed in one of claims 1 to 3, characterized in that the adapter (6) has a screw hole (13) for a screw, the screw being directed against the second flank (10) of the profile and being able to produce at this point a tangential prestress between the hub sleeve (2) and the adapter (6), said prestress bracing the first flank (9) of the profile (7) and of the internal profile (8) against each other.
- 30 5. The hub as claimed in claim 1 or 4, characterized in that the screw hole (13) is directed approximately perpendicularly onto the second flank (10).
- 35



6. The hub as claimed in claim 1,  
characterized in that  
the fixing part (14) is a threaded ring which is  
screwed onto a fixing thread (16) on the hub sleeve (2)  
5 in the direction of the adapter (6).
7. The hub as claimed in claim 1,  
characterized in that  
the fixing part (14) has, on its end surface which runs  
annularly and comes into contact with the adapter (6),  
10 a flat angle (17) with a dish-shaped profile.
8. The hub as claimed in one of claims 1 to 7,  
characterized in that  
the fixing part (14) has a collar (15) for forming a  
sealing location for the bearing (5).
- 15 9. The hub as claimed in claims 1 to 8,  
characterized in that,  
in the event of an adapter (6) not being fixed in  
place, the hub sleeve (2) may be provided with a  
covering in place of the profile (7) and/or in place of  
20 the fixing thread (16).

Fig. 1

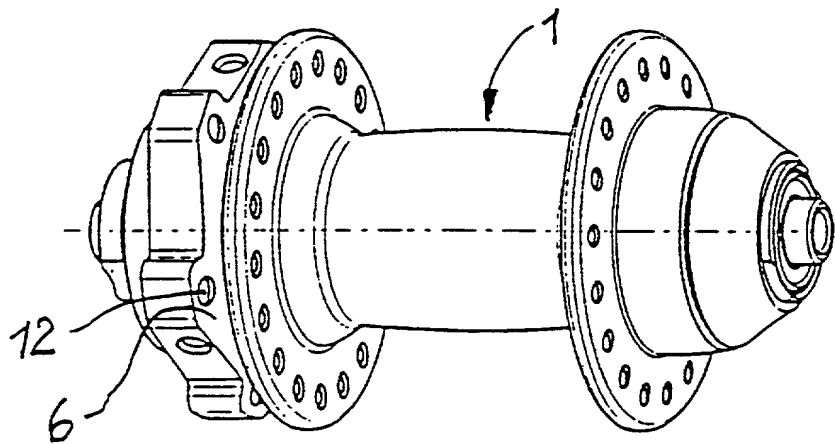
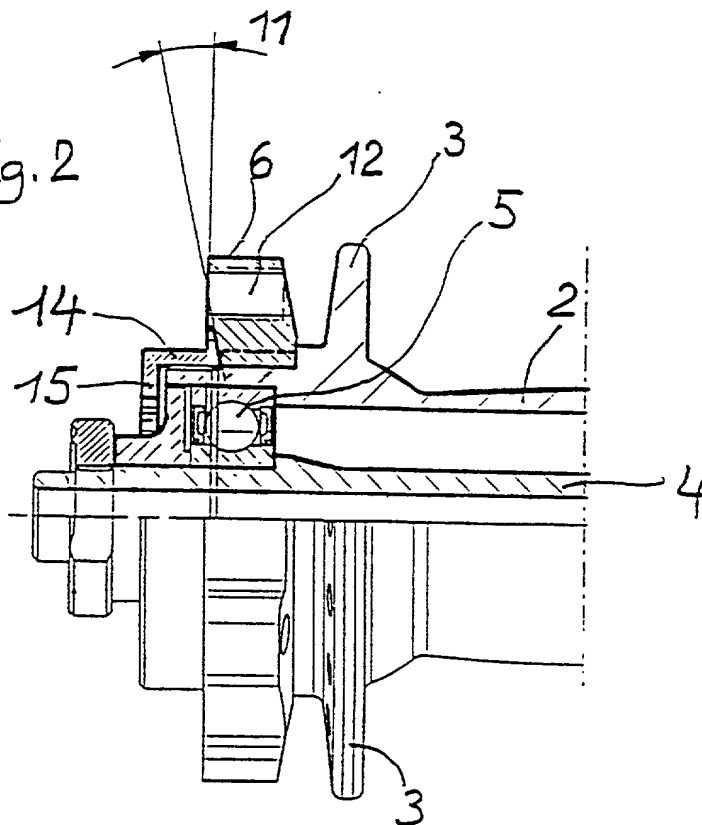
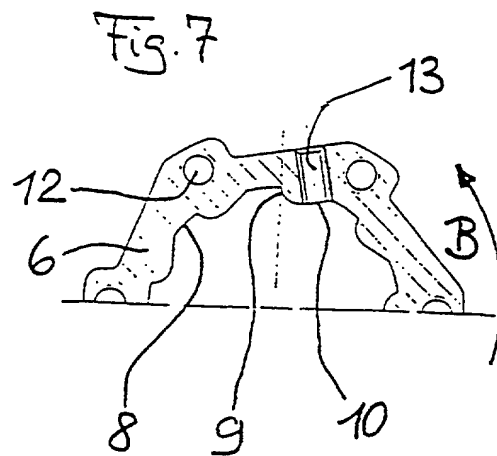
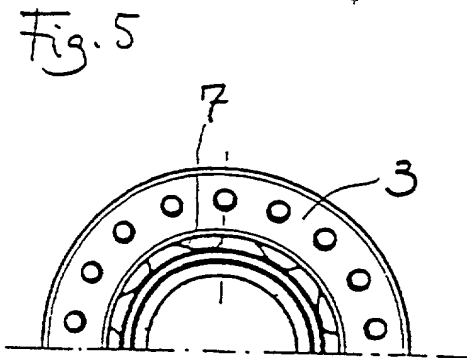
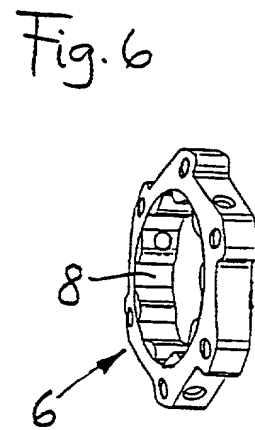
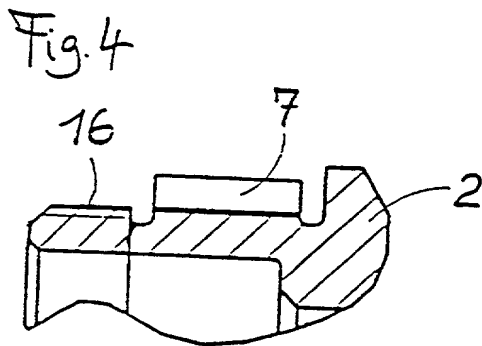
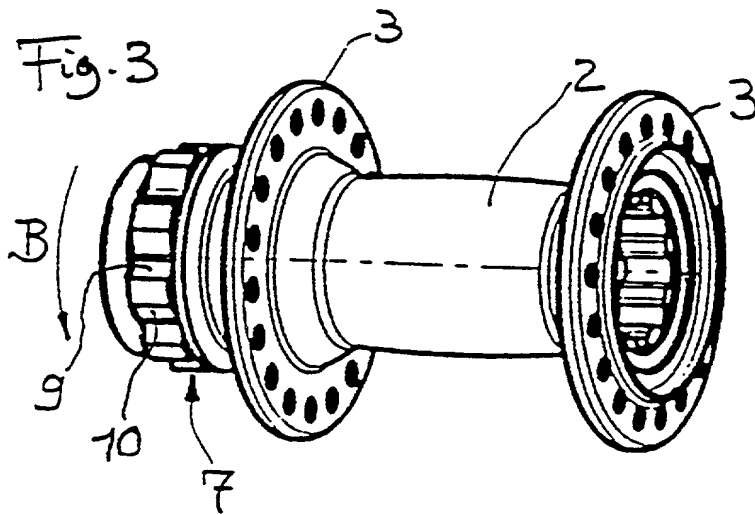
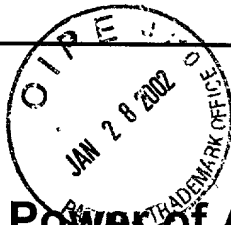


Fig. 2







Docket No.

8287,700

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

WHEEL HUB FOR BICYCLES

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on November 20, 2000 as United States Application No. or PCT International Application Number 09/700,791 and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

<u>199 15 714.6</u>	<u>Germany</u>	<u>8/April/99</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
<u>                    </u>	<u>                    </u>	<u>                    </u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

PCT/EP00/02704

March 28, 2000

Abandoned

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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James R. Longacre, Reg No. 24,421

John M. White, Reg No. 32,634

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**Matthew W. Stavish (301)896-0600**

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Sole or first inventor's signature	
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3-20

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4-20

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5-20

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Fifth inventor's signature	Date
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Citizenship	
French	
Post Office Address	
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Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	